



Notice of Intention of Revocation of the Financial Dealers License.
Section 7A (5) of the Financial Dealers Licensing Act [Cap 70]

Take notice that unless cause is shown to the contrary in the form of justified written objections, the Financial Dealers Licence issued to the Company

NAT SECURITIES INC.

Will be revoked at the expiry of 14 days on the following grounds:

- (a) Failure to operate from an office maintaining the following systems:
- Filing System;
 - Management and Accounting System;
 - Business Continuity Plan;
 - Trading Platform.
- (b) Failure to meet the requirements set out in the guidelines issued by the Commissioner under section 19A.

DATED this 30th day of October 2024.



BRANAN KARAE
COMMISSIONER